Frequently Asked Questions

Directive 056—Compliance Assurance

July 2016

These frequently asked questions are in regard to voluntary self-disclosures of licensing issues under Directive 056: Energy Development Applications and Schedules, which are submitted to the Infrastructure Authorizations (Oil & Gas) Group.


Q1. Why have all references to the voluntary self-disclosure process been removed from Directive 056?

A1. The references to the voluntary self-disclosure process have been removed in order to align the directive with the recent release of the AER’s Integrated Compliance Assurance Framework and section 3.2 of Manual 13: Compliance and Enforcement Program. Included in the changes is the removal of the “self-disclosure” check box on the Directive 056 application forms.

Q2. When will this change to Directive 056 take effect?

A2. The AER will not accept voluntary self-disclosure applications as of June 8, 2016. Applications that include a voluntary self-disclosure will be closed.

Q3. If I cannot voluntarily self-disclose a noncompliance as part of a Directive 056 application, what should I do?

A3. For a voluntary self-disclosure pertaining to a Directive 056 licensing issue, complete the Voluntary Self-Disclosure Form found on the AER webpage under Data & Publications > AER Forms > Miscellaneous Forms. E-mail the completed form to the e-mail address Authorizations.VSD@aer.ca provided in the form. If achieving compliance requires changing the Directive 056 licence, submit a licence amendment application using the Electronic Application Submission (EAS) system.

Q4. I have an unlicensed well, facility, or pipeline and need to acquire a licence in order to achieve compliance. How do I do this?

A4. Self-disclosures for unlicensed Directive 056 wells, facilities, and pipelines must be submitted to Authorizations.VSD@aer.ca using the Voluntary Self-Disclosure Form. To achieve compliance
and obtain the necessary operating approval, submit an application for a licence using the Electronic Application Submission (EAS) system.

Q5. **Why have all the references to record corrections been removed from Directive 056?**

A5. Applications for record corrections using the Directive 056 application forms and selecting the self-disclosure check box were permitted to correct a data entry error that occurred on the original submission. The references to record corrections in the directive have been removed as a result of the removal of the self-disclosure check box on the Directive 056 forms.

A record correction is a change to an existing licence. If you need to correct a data entry error, submit a licence amendment application.